A  Membership Agreement – Industry Partners

Industry/University Cooperative Research
Center for Configuration Analytics and Automation (CCAA)

This Agreement is made this ______ day of ______________, 201_ by and between COLORADO STATE UNIVERSITY, FORT COLLINS, CO (hereinafter called “UNIVERSITY AFFILIATE”) and ____________________________ having a place of business at ____________________________ (hereinafter called “COMPANY”) for membership in the Center comprising and acting through the Center for Configuration Analytics and Automation (hereinafter called “CENTER”), which is defined as all Configuration Analytics and Automation Research Sites funded by the Industry/University Cooperative Research Center Program of the National Science Foundation.

WHERAS, the CENTER will engage in research, development, education, and technology exchange among academic, industry, and government entities leading to advance capabilities for the management and automated defense of enterprise/cloud-level information and communications technology (ICT) environments and Internet of Things (IoT), and

WHEREAS, the parties to this Agreement intend to join together in a cooperative effort to support the CENTER at the UNIVERSITY to maintain a mechanism whereby the UNIVERSITY environment can be used to perform research, development, education and technology exchange in configuration analytics and automation and their integration to improve management and automated defense of enterprise/cloud-level information and communications technology environments and Internet of Things.

The parties hereby agree to the following terms and conditions:

A. CENTER, in accordance with its by-laws, will be operated by certain faculty, staff and students at the UNIVERSITY AFFILIATES currently comprising and acting through the following UNIVERSITY AFFILIATES:
   • University of North Carolina at Charlotte, Charlotte, NC
   • George Mason University, Fairfax, VA, and
   • Colorado State University, Fort Collins, CO
For the next two years, the CENTER is expected to be supported jointly by industrial firms, Federal laboratories, U.S. Government Organizations, the National Science Foundation (NSF), the State of the UNIVERSITY AFFILIATE, and the UNIVERSITY AFFILIATE itself. It is possible that the CENTER may receive support from NSF for an additional ten years.

B. Any COMPANY may become a MEMBER of the CENTER, consistent with applicable state and federal laws and statutes. The COMPANY shall designate a UNIVERSITY AFFILIATE as its principal point of contact (hereinafter “DESIGNATED UNIVERSITY AFFILIATE” or “DUA”).

C. COMPANY agrees to contribute the annual membership fee in support of the CENTER as described in the CENTER bylaws, and thereby becomes a MEMBER. Payment of the annual membership fees of $50,000.00, which will be invoiced beginning in June of a calendar year and can be paid as a lump sum or in equal quarterly payments per the invoiced instructions during the fiscal year (July 1 thru June 30) of membership. Because research of the type to be done by the CENTER takes time and research results may not be obvious immediately, COMPANY should join CENTER with the intention of remaining a fee-paying member for at least two years. However, COMPANY may terminate this Agreement by giving the DESIGNATED
UNIVERSITY AFFILIATE 90-day written notice prior to the termination date. Such termination shall not relieve COMPANY of its obligation to pay full membership fees for the then-current fiscal year.

The results of CENTER research will be made equally available to all members. Ownership of patents and copyrights that result from CENTER research will remain with the UNIVERSITY AFFILIATE that generated the patent or copyright rights, as per the terms of this Agreement.

D. The organization and operation of the CENTER will be specified and governed by the “Center for Configuration Analytics and Automation Bylaws”.

E. There will be an Industrial Advisory Board composed of one representative from each MEMBER. This board makes recommendations on (a) the research projects to be carried out by CENTER (b) the apportionment of resources to these research projects, and (c) changes in the by-laws. The operation of this board is specified in CCAA bylaws.

F. The CENTER and UNIVERSITY AFFILIATE, including their students, faculty and staff, reserves the right to publish in scientific or engineering journals and/or present in professional meetings the results of any research performed by CENTER. The UNIVERSITY AFFILIATE will submit any proposed publication or presentation to each MEMBER, containing results of the research program of the CENTER. Each MEMBER shall have the right to request a delay in publication for a period not to exceed ninety (90) days from the date of submission to the members of the CENTER, for proprietary reasons, provided that MEMBER makes a written request and justification for such delay within thirty (30) days from the date the proposed publication or presentation is submitted by certified mail to members of the CENTER.

G. All patents derived from inventions conceived or first actually reduced to practice in the course of research conducted by UNIVERSITY AFFILIATE(S) shall belong to the UNIVERSITY AFFILIATE(S) that generated the invention(s). Said UNIVERSITY AFFILIATE(S) pursuant to chapter 18 of title 35 of the United States Code, commonly called the Bayh-Dole Act, will have ownership of all patents developed from this work, subject to "march-in" rights as set forth in this Act. Member companies that wish to exercise rights to a royalty-free nonexclusive license agree to pay their respective portion of the costs associated with application for and maintenance of the patent. CENTER and UNIVERSITY AFFILIATES agree that all MEMBERS that have paid their dues to date at the time of disclosure are entitled to said nonexclusive royalty-free license. COMPANY will have the right to sublicense the intellectual property of the patents to its subsidiaries and affiliates but will not have the right to sublicense other entities. If only one MEMBER seeks a license within the six (6) month period following notice to MEMBERS of a licensable invention, that MEMBER may obtain an exclusive, fee-bearing license from the UNIVERSITY AFFILIATE(S) that owns the patent rights.

H. Copyright registration and ownership of software shall be obtained by the UNIVERSITY AFFILIATE(S) having ownership of software developed through the CENTER, at UNIVERSITY AFFILIATE’s discretion or at COMPANY request and expense. The UNIVERSITY AFFILIATE(S) will grant MEMBERS that have paid their dues to date at the time of disclosure and request a license, a royalty-free nonexclusive license. Such MEMBER will have the right to sublicense its subsidiaries and affiliates for internal use of the software, but will not have the right to sublicense other entities. COMPANY will have the right to enhance and to re-market enhanced or un-enhanced software provided the COMPANY negotiates in good faith a royalty-bearing license agreement with the UNIVERSITY AFFILIATE, which royalty shall be based on the worth of the initial software and a fair sale price of the enhanced or un-enhanced software product sold or licensed by COMPANY. If only one MEMBER seeks a license, that MEMBER may negotiate an exclusive, royalty-bearing license from the UNIVERSITY AFFILIATE that owns the copyright rights.

I. If no MEMBER desires a license to CENTER patents or software, the UNIVERSITY AFFILIATE that generated the patents or software shall be free to grant royalty-bearing licenses
to said patents or software to third parties any time after six (6) months following notice to member companies that the patents or software were available for licensing.

J. If member companies elect to take nonexclusive licenses to patents or software under Paragraphs G or H, the UNIVERSITY AFFILIATE that generated the patents or software shall be free to grant royalty-bearing, nonexclusive licenses to third parties any time after twelve (12) months following notice to member companies that the patents or software are available for licensing.

K. Any revenue received by UNIVERSITY AFFILIATE(S) in association of licensed patents or software shall be distributed according to the policy of said UNIVERSITY AFFILIATE(S).

L. No party is assuming any liability for the actions or omissions of the other party as a result of this Agreement. COMPANY will indemnify and hold the CENTER and UNIVERSITY AFFILIATES harmless against all claims, liability, injury, damages or costs, including reasonable attorney fees, based upon injury or death to persons, or loss of, damage to, or loss of use or property that arises out of the performance of this Agreement to the extent that such claims, liability, damage, cost or expense results from the negligence of the COMPANY’s agents or employees.

M. This agreement shall be construed in accordance with the laws of the State in which the DESIGNATED UNIVERSITY AFFILIATE is incorporated without regard to the State’s statutes regarding conflicts of laws.

IN WITNESS WHEREOF, the parties hereto have caused this Membership Agreement to be duly executed by their duly authorized officers as of the day and year set forth next to each signature.

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<th>DESIGNATED UNIVERSITY AFFILIATE</th>
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Membership Agreement – Institutes and Associations Dissemination Agreement

Industry/University Cooperative Research Center for Configuration Analytics and Automation

This Agreement (“DISEMINATION AGREEMENT”) is made this ________ day of _________ by the ___________________________ (hereinafter called “UNIVERSITY”) acting on behalf of the Industry/University Cooperative Research Center for Configuration Analytics and Automation (hereinafter called “CENTER”) and the undersigned CENTER Member (hereinafter called “MEMBER”).

WHEREAS, CENTER conducts research projects which are funded by its members pursuant to the CENTER Membership agreement (hereafter “MEMBERSHIP AGREEMENT”) placed into effect between University and each MEMBER; and

WHEREAS, the undersigned MEMBER entered into the MEMBERSHIP AGREEMENT effective as of ________________; and

WHEREAS CENTER and its members desire to disseminate to the public, non-confidential, general information and non-confidential research results generated from the research projects;

NOW, therefore, the parties to this DISEMINATION AGREEMENT hereby agree to the following terms and conditions governing public dissemination of non-confidential information:

A. Each MEMBER shall receive the following:
   i. The right to select one (1) research project of MEMBER’s choice.
   ii. A written report, for MEMBER’s internal use only for each ongoing CENTER project, to be delivered prior to each periodic meeting with members of CENTER, and following each periodic meeting, access to the oral presentation given for each project.
   iii. In a timely manner following each periodic meeting, an executive summary report providing information of a general, non-confidential nature about the progress of the research projects, including the right to copy, republish, and distribute, in whole or in part, the report to all interested members of the public.
   iv. To the extent permitted by the CENTER Membership Agreement’s provisions for preserving patent rights, six months after the completion of a CENTER project, non-confidential results of the project including the right to copy, republish, and distribute, in whole or in part, the results to all interested members of the public.

B. This DISEMINATION AGREEMENT may not be assigned, in whole or in part, by either party without the prior written consent of the other party; and such consent shall not be unreasonably withheld.

C. The terms and conditions of the MEMBERSHIP AGREEMENT are incorporated herein, and the terms and conditions above are supplemental to the terms and conditions of the MEMBERSHIP AGREEMENT. In the event of any conflict between the terms and conditions of this DISEMINATION AGREEMENT and the terms and conditions in the MEMBERSHIP AGREEMENT, the terms and conditions of the MEMBERSHIP AGREEMENT shall prevail.

IN WITNESS WHEREOF, the parties hereto have caused this DISEMINATION AGREEMENT to be executed by their duly authorized representatives.